



Webcor/Obayashi Joint Venture
Request for Qualifications

Underground Water, Sewer and Joint Trench Installation
Construction Services Package
Package TG04.5.1

For The
Transbay Transit Center
San Francisco, California
Webcor/Obayashi Joint Venture Job #30100

August 13, 2010

Table of Contents

	<u>Page No.</u>
I. Project Description	2
II. Scope of Construction Services	3
III. Communication with Webcor/Obayashi Joint Venture	5
IV. Qualifying Bidding and Contracting	6
V. Qualification and Bidding Process	7
VI. Other Requirements	11
VII. Webcor/Obayashi Joint Venture's Rights and Options	13
VIII. Submittal Checklist	14
IX. Trade Subcontractor Qualification Statement	15
Attachment 1 Tentative Selection Schedule	26
Attachment 2 Mediation, Arbitration and Litigation History	27
Attachment 3 Project and Personnel Experience - Survey	28
Attachment 4 City & County of San Francisco Project Experience	30
Attachment 5 Request for Appeal	31
Attachment 6 SBE/DBE Strategies	32

END OF TABLE OF CONTENTS

I. PROJECT DESCRIPTION

JOB No. 30100 SAN FRANCISCO, CALIFORNIA

Overall Project Description

The TJPA intends to build a new Transit Center Building, associated Bus Ramps and Utility Relocations.

The new TCB will have six levels:

- (1) Train Station Passenger Platform Level,
- (2) Train Mezzanine Level,
- (3) Ground Level,
- (4) Concourse Level,
- (5) Elevated Bus Level
- (6) Park Level.

The Transit Center Building is located between Main and 2nd Streets and between Minna and Natoma Streets in downtown San Francisco. The TCB will be approximately 1600 feet long, 180 feet wide, 60 feet below grade and 60 feet above grade. The below grade structure will service Caltrain and future high speed trains. At grade will be a facility for San Francisco local transportation agencies while an above grade level will serve as a central terminal for regional bus services. The top level will function as a 5.4 acre park.

Utility Relocation Scope is for purposes of clearing utilities out of the new building footprint. The scope encompasses the area between 2nd Street and Main Street and between Market Street and Howard Street. It involves relocation of water lines, sludge lines, demolition of existing utilities and coordination with PG&E and all telecom agencies.

The Bus Ramp scope includes elevated roadways servicing the elevated bus level of the TCB. These ramps will approach the TCB from the south off of Highway 101.

**End of Section I
Project Description**

II. CONSTRUCTION SERVICES SCOPE OF WORK Underground Water, Sewer and Joint Trench Installation

JOB No. 30100 SAN FRANCISCO CALIFORNIA

The Trade Subcontractor's scope of work for the trade package associated with this Request for Qualification shall include, but not necessarily be limited to labor, material, equipment, supplies, and supervision required to complete the work as generally described as follows:

Installation of UNDERGROUND JOINT TRENCH on Minna Street between 1st Street and 2nd Street including but not limited to:

- Excavate, install and backfill conduit for PG&E lines (feeders by others);
- Excavate, install and backfill conduit for AT&T telecommunications lines (wiring by others);
- Installation of underground infrastructure and tie in to existing underground structures. The scope does NOT include installation of conducting wire of any kind;
- All incidental tasks required to complete the work which include but are not limited to traffic control, traffic permits, taking steps to keep the street(s) open the entire time construction is taking place, coordination with PG&E and telecommunication companies.
- Direct and detailed coordination with PG&E, applicable Telecommunication agencies and the City of San Francisco as required.
- PG&E gas lines will be installed by PG&E into the joint trench that is excavated and backfilled by Trade Subcontractor as indicated in the contract documents.
- Coordination and relocation of existing street lights.
- Coordination and demolition of existing traffic signals.
- All repair of pavement of streets will be included in the final scope.

Installation of UNDERGROUND WATER & SEWER on Minna Street between 1st Street and 2nd Street including but not limited to:

- Construction of new and demolition of existing sewer lines
- Construction of new and demolition of existing water lines
- Demolition only of existing PG&E electrical and gas infrastructure and demolition of existing telecom infrastructure in the same boundary areas as described above. Scope will also include demolition of SFFD AWSS at 1st Street.
- All incidental work, such as site preparation, traffic control, temporary work surfaces, temporary water, temporary electricity, lighting for night work, flagging for deliveries, safety work, dewatering and other requirements for completion of the work.
- All repair of pavement of streets will be included in the final scope.

Subcontractor will be familiar with and will have experience in working with and properly disposing of hazardous materials.

August 13, 2010
Webcor/Obayashi Joint Venture
Underground Water, Sewer and Joint Trench Installation
Construction Services

Transbay Joint Powers Authority
Request for Qualifications (RFQ)

An active and valid California State Contractor License type A, C10 or C34 along with appropriate experience will be required.

The value of this scope of work is anticipated to be approximately \$10,000,000.

Payment and performance bonds will be required for this Trade Subcontractor as will a 5% bid bond.

Insurance: In addition to general liability insurance, Trade Subcontractors shall carry pollution liability insurance in place for this project. The additional insureds will be identified in the bid documents.

End of Section II
Construction Services Scope of Work

August 13, 2010
Webcor/Obayashi Joint Venture
Underground Water, Sewer and Joint Trench Installation
Construction Services

Transbay Joint Powers Authority
Request for Qualifications (RFQ)

III. COMMUNICATION WITH WEBCOR/OBYASHI

**JOB No. 30100
SAN FRANCISCO CALIFORNIA**

Interested Trade Subcontractors shall not contact any staff of the TJPA, TJPA Program Management/Program Controls (PMPC) team, Webcor-Obayashi, or TJPA Consultants regarding this RFQ. All contact shall be made in writing as described below:

E-mail Webcor/Obayashi Joint Venture at TransbayBidding_TG04.5.1@webcor-obayashi.com.

Fax Webcor/Obayashi Joint Venture at (510) 476-3005.

**End of Section III
Communication with Webcor-Obayashi**

IV. QUALIFYING, BIDDING AND CONTRACTING
Underground Water, Sewer and Joint Trench Installation

JOB No. 30100
SAN FRANCISCO CALIFORNIA

Trade Subcontractors bidding for the Underground Water, Sewer and Joint Trench installation package will be required to fulfill a Small Business Enterprise (SBE) participation goal of 100% for this scope of work. SBE is defined in the Transbay Joint Powers Authority Board Policy No. 15. Failure to meet the aforementioned SBE participation requirement will deem the subcontractor unqualified for this trade group. If SBE strategy includes using sub-tier contractors which are classified as SBE, those sub-tier contractors need not respond to this RFQ.

The TJPA approach to the procurement of construction services for the Transbay Transit Center is described in detail below.

Trade Subcontractors will be required to comply with the Federal procurement rules and guidelines set forth in Federal Transit Administration Circular 4220.1.F, the Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments, in the FTA Best Practices Procurement Manual, and at 49 CFR Part 18 and Part 26. Trade Subcontractors will also be required to comply with all provisions of section 1770 *et seq.* of the California Labor Code. Procurement will be without regard to race, gender, religion, affiliation, or sexual orientation.

The purpose of this RFQ is to identify Trade Subcontractors qualified to perform the Underground Water, Sewer and Joint Trench Installation scope of work for the Project. The contracts for the Underground Water, Sewer and Joint Trench Installation scope of work will ultimately be awarded by Webcor/Obayashi Joint Venture to the qualified and responsible bidder submitting the lowest responsive bid, and subject to the approval of the TJPA.

End of Section IV
Qualifying, Bidding & Contracting

V. QUALIFICATION AND BIDDING PROCESS
Underground Water, Sewer and Joint Trench Installation

JOB No. 30100
SAN FRANCISCO CALIFORNIA

QUALIFICATION:

Qualification Requirement: All bidders who wish to submit a bid for the Underground Water, Sewer and Joint Trench Installation scope of work shall be qualified. It is mandatory that each Trade Subcontractor who wishes to qualify fully complete the qualification questionnaire and provide all materials requested herein. Responses which are not fully complete or do not include all materials requested shall be deemed non-responsive and shall be rejected as such. No bid will be accepted from a Trade Subcontractor who has failed to comply with these requirements.

Package Issuance Timeline: Starting August 13, 2010, RFQ packages will be available at <http://transbaycenter.org/tjpa/doing-business-with-the-tjpa/current-contract-opportunities> or Packages can also be obtained by emailing Webcor/Obayashi Joint Venture at TransbayBidding_TG04.5.1@webcor-obayashi.com

Questions: Questions or clarifications regarding the qualification forms must be submitted in writing no later than **2:00 pm on August 27, 2010** by e-mail to Webcor/Obayashi Joint Venture at TransbayBidding_TG04.5.1@webcor-obayashi.com, or by fax to (510) 476-3005. **No questions will be accepted after 2:00 pm on August 27, 2010.**

Previously Issued Questions: As part of previous RFQ's, questions 1-16 have been issued and responded to. These documents are available at <http://transbaycenter.org/tjpa/doing-business-with-the-tjpa/current-contract-opportunities> and should be reviewed prior to submitting this RFQ.

Application Submittal and Due Date: Each questionnaire must be signed under penalty of perjury in the manner designated at the end of the form, by an individual who has the legal authority to bind the Trade Subcontractor on whose behalf that person is signing. If any information provided by a Trade Subcontractor becomes inaccurate, the Trade Subcontractor must immediately notify Webcor/Obayashi Joint Venture and provide updated accurate information in writing, under penalty of perjury.

Each Trade Subcontractor shall submit one (1) original and three (3) copies of its response to this RFQ to Webcor/Obayashi Joint Venture, 183 Fremont Street, San Francisco, CA, 94105. Qualification packet will be delivered by hand or mail. Qualification documents must be submitted in writing on 8 1/2" x 11" sheets and bound. Qualifications will be received at Webcor-Obayashi no later than **2:00 pm on September 1st, 2010**. (10 working days before bid date). **No qualification documents will be accepted after 2:00 pm on September 1st, 2010.** Webcor/Obayashi Joint Venture reserves the right to extend the date for qualification packages and/or request, receive, and evaluate supplemental information after the above time and date at its sole determination. Webcor/Obayashi Joint Venture reserves the right to waive minor irregularities and omissions in the information contained in the qualification application submitted, and to make all final determinations.

Incomplete or Late RFQ Submissions: Webcor/Obayashi Joint Venture may refuse to grant qualification where the requested information and materials are not provided, or not provided by the last date to submit the questionnaire noted above. There is no appeal from a refusal for an incomplete or late application. The closing time to accept RFQs will not be changed in order to accommodate supplementation of incomplete submissions, or late submissions.

Interviews: Webcor/Obayashi Joint Venture at its own discretion may conduct interviews with any or all of the Trade Subcontractors responding to the RFQ to better determine their qualifications to perform work required under the project. Interviews will be for clarification of Trade Subcontractor's responses in the Qualification Application, for discussion of additional information, and to supplement Trade Subcontractor's responses in the Qualification Application.

Determination of Qualification: The Trade Subcontractors Qualification Statement must be completed and submitted along with supplemental information required. Failure to do so will render the submittal incomplete. Trade Subcontractor may be deemed not qualified for falsification of information.

The review, evaluation, and score for each Trade Subcontractor's application will be performed by a panel consisting of representatives of Transbay Joint Powers Authority (Owner), TJPA Program Management/Program Controls (Program Manager), and Webcor/Obayashi Joint Venture. The scoring will be based on the following objective evaluation criteria:

Section I: Essential Requirements for Qualification:

To qualify, a Trade Subcontractor **will be required** to have a passing grade within this area. Trade Subcontractors are **disqualified** if the answer to any of questions in Part A are "no" or if the answer to any questions in Part "B" are "yes".

Section II: Scoring Section:

Included in this section are questions which will be scored. There is a maximum of 300 points possible. A Trade Subcontractor who receives 250 or more points will pass this section. In addition to the questionnaire, Trade Subcontractor must provide the requested information for the project experience category. Trade Subcontractor must submit 3 projects with relevant scope, 3 of their largest projects and **all** of their projects performed in the City and County of San Francisco.

Trade Subcontractor must qualify under both Section I and receive the minimum points under Section 2 to pass the Qualification requirement.

Trade Subcontractor will be notified in writing about their qualification status. Webcor/Obayashi Joint Venture reserves the right to adjust, increase, limit, suspend or rescind the qualification rating based on subsequently learned information. Trade Subcontractor whose rating changes sufficient to disqualify them will be notified, and given an opportunity for an appeal consistent with the appeal procedures described below for appealing a qualification rating.

While it is the intent of the qualification questionnaire and required documents to assist Webcor/Obayashi Joint Venture in determining bidder qualification prior to bid and to aid in the selection of the lowest responsible bidder, neither the fact of qualification, nor any qualification rating,

will preclude Webcor/Obayashi Joint Venture, in its discretion, from a post-bid consideration and determination of whether a bidder has the quality, fitness, capacity and experience to satisfactorily perform the proposed work, and has demonstrated the requisite trustworthiness.

Appeals Process: Where a timely and complete application results in a rating below that necessary to qualify, an appeal can be made. The Trade Subcontractor may file an appeal by delivering a completed Request for Appeal (Attachment 5) to Webcor/Obayashi Joint Venture no later than five (5) days after the notification date. Without a timely appeal, the Trade Subcontractor waives any and all rights to challenge the decision of Webcor/Obayashi Joint Venture, whether by administrative process, judicial process or any other legal process or proceeding.

If the Trade Subcontractor files a complete and timely Request for Appeal, a review of the appeal shall be conducted so that it is concluded soon after receipt of the Request. The review shall be an informal process conducted by Webcor/Obayashi Joint Venture, PMPC, and the TJPA and will be based upon the information submitted by the Trade Subcontractor in its Request for Appeal. Webcor/Obayashi Joint Venture will notify the Trade Subcontractor in writing of their decision at the conclusion of the review. The decision of Webcor/Obayashi Joint Venture is final and is not subject to appeal or challenge whether by administrative process, judicial process or any other legal process or proceeding.

Financial Responsibility: Webcor/Obayashi Joint Venture and the TJPA accept no financial responsibility for any costs incurred by a firm in responding to this RFQ. Submissions to Webcor/Obayashi Joint Venture under this RFQ will become the property of the TJPA and may be used by the TJPA in any way deemed appropriate.

No Waiver: No waiver by Webcor/Obayashi Joint Venture and the TJPA of any provision of this RFQ shall be implied from any failure by Webcor/Obayashi Joint Venture and the TJPA to recognize or take action on account of any failure by a Respondent to observe any provision of this RFQ.

No Representations or Warranties: The information presented in this RFQ and in any report or other information provided by Webcor/Obayashi Joint Venture and the TJPA to respondents is provided solely for the convenience of the interested parties. It is the sole responsibility of interested parties to assure themselves that the information contained in this RFQ or other documents are accurate and complete. No representations, assurances or warranties pertaining to the accuracy of such information are or will be provided by the TJPA or its advisors.

No Conflict of Interest: Webcor/Obayashi Joint Venture and the TJPA shall disqualify any respondent to this RFQ that has a conflict of interest under Section C8.105 of the San Francisco Charter, Government Code Section 1090, et. seq., the Political Reform Act (Government Code Section 87100 et. seq.), or any other applicable conflict of interest laws. Any false, incomplete, or otherwise unresponsive statements made in connection with a proposal may be cause for its disqualification at Webcor/Obayashi Joint Venture and the TJPA's sole discretion.

Bidding Process: The bidding process has begun. IFB documents are available at the TJPA website. Process will proceed as follows:

1. Bids will be accepted only from Trade Subcontractors who are qualified at time of bid.
2. The I.F.B. document informs Trade Subcontractors where to buy plans. Webcor/Obayashi Joint Venture will distribute plans and specifications to Plan Rooms in the Bay Area to encourage second tier subcontractors to bid their work to the qualified Trade Subcontractors.
3. A pre-bid meeting will be held after the IFB document is issued. Date of that meeting will be announced in the IFB document.
4. Trade Subcontractors will submit their bids. Bids will be opened publicly.
5. The construction contract, if awarded, will be awarded to the responsible and qualified Trade Subcontractor submitting the lowest responsive bid for the work.
6. A Trade Subcontractor wishing to be qualified should be aware that if they are the successful low bidder, they must comply with all bid conditions including, but not limited to:
 - a. Furnishing satisfactory corporate surety payment and performance bonds within 10 days of notice of intent to award.
 - b. Furnishing of required insurance certificates within 10 days of notice of intent to award and maintaining required insurance
 - c. Complying with the TJPA's Small Business Enterprise (SBE) program including the required SBE participation percentage as indicated in section IV above.
 - d. Complying with the TJPA's Disadvantaged Business Enterprise (DBE) program.
 - e. Possession of a Business Tax Registration Certificate. Before any contract can be certified as to the availability of funds and before a Notice to Proceed can be issued, the Trade Subcontractor must provide the TJPA with a copy of a current Business Tax registration Certificate pursuant to San Francisco Ordinance 345-88. Trade Subcontractors can register for a current certificate with the Business Tax Division of the Tax Collector of the City of San Francisco.
 - d. Confirming the names of key personnel who will be assigned to the project.
 - e. Providing an audited financial statement verifying the ratios provided in the qualification form and confirming their financial worthiness to perform the work.
 - f. Furnishing supplemental bid information requested by the CM/GC of the successful low bidder after the bid process but prior to award.
 - g. Applicable Federal Regulations.

**End of Section V
Qualification and Bidding Process**

VI. OTHER REQUIREMENTS
Underground Water, Sewer and Joint Trench Installation

JOB No. 30100
SAN FRANCISCO CALIFORNIA

A. Complete Response: All responses shall be complete, including all required information and attachments in order to be responsive and eligible for qualification.

B. Small Business Enterprise (SBE) Program:

1. Trade Subcontractors Bidding for the *Underground Water, Sewer and Joint Trench Installation* package will be required to meet the goal for Small Business Enterprise (SBE) participation as indicated in section IV above. An SBE is defined by the TJPA SBE Program, available on the TJPA website at <http://transbaycenter.org/tjpa/doing-business-with-the-tjpa>. If the Trade Subcontractor's contracting strategy includes using subcontractors, then given that the goal is 100% for this package, those subcontractors must be SBEs; however, the sub-tier contractors need not respond to this RFQ themselves.
2. Trade Subcontractors shall provide proof of certification accepted under the TJPA SBE Program.
3. If applicable and in consideration of the required SBE participation for this trade package, Subcontractors shall provide in attachment 6 their strategy, plan, and approach to fulfill SBE requirements specifically include:
 - a. Whether work is self performed or sub-tier
 - b. Past experience
 - c. "Outreach" to Small Business Community

C. Disadvantaged Business Enterprise (DBE) Program:

1. The TJPA Board Policy No. 010 Disadvantaged Business Enterprise (DBE) Program will apply to all Trade Subcontractors. The DBE Program is available on the Transbay Transit Center website (<http://www.transbaycenter.org/tjpa/doing-business-with-the-tjpa>).
2. There will be a DBE participation advisory level that is not mandatory, but Trade Subcontractors should note there will be a mandatory SBE contracting goal. Businesses with a DBE certification count as an SBE under the TJPA SBE Program.

D. Bonding Requirements: At the time of execution of contract, the Trade Subcontractor shall file with Webcor-Obayashi Joint Venture the following bonds:

1. A corporate surety bond, in a sum not less than 100 percent of the amount of the contract, to guarantee the faithful performance of the Contract.
2. A corporate surety bond, in a sum not less than 100 percent of the amount of the contract, to guarantee the payment of wages for services engaged and of bills contracted for materials, supplies, and equipment used in the performance of the contract.

Corporate sureties on these bonds and on bonds accompanying bids shall be legally authorized and licensed through the California Department of Insurance to engage in the business of furnishing surety bonds in the State of California. All sureties shall have an "A, X (10)" rating or better in Best's Rating Guide and be satisfactory to the TJPA.

E. Trade Subcontractors are solely responsible for ensuring receipt of any and all addenda.

**End of Section VI
Other Requirements**

VII. WEBCOR/OBAYASHI JOINT VENTURE'S RIGHTS AND OPTIONS

JOB No. 30100 SAN FRANCISCO CALIFORNIA

Webcor/Obayashi Joint Venture reserves the right to postpone selection for its own convenience, to withdraw this Request for Qualifications at any time, and to reject any and all submittals without indicating any reason for such rejection. As a function of the RFQ process, Webcor/Obayashi Joint Venture reserves the right to remedy technical errors in the RFQ and to modify the published scope of services. Webcor/Obayashi Joint Venture will reserve the right to request that specific personnel with specific expertise be added to the team, if Webcor/Obayashi Joint Venture determines that specific expertise is lacking in the project team.

Statements and other materials submitted will not be returned.

Webcor/Obayashi Joint Venture reserves the right to abandon this RFQ process and/or change its procurement process for the contract at anytime if it is determined that abandonment and/or change would be in Webcor/Obayashi Joint Venture's best interest. In the event of an abandonment or change, Webcor/Obayashi Joint Venture will not be liable to any Trade Subcontractor for any costs or damages arising out of its response to the RFQ.

The issuance of this RFQ does not constitute an agreement by Webcor/Obayashi Joint Venture and the TJPA that any contract will actually be entered into by Webcor/Obayashi Joint Venture. Webcor/Obayashi Joint Venture expressly reserves the right at any time to:

- waive or correct any defect or informality in any response, proposal, or proposal procedure, as determined by Webcor/Obayashi Joint Venture and the TJPA in its sole discretion
- reject any or all proposals, without indicating any reason for such rejection
- reissue an RFQ
- modify all or any portion of the selection procedures prior to submission deadline for proposals, including deadlines for accepting responses, the specifications or requirements for any materials, equipment or services to be provided under this RFQ, or the requirements for contents or format of the proposals
- request that one or more Respondents clarify, supplement or modify the information submitted
- extend deadlines for accepting responses; request amendments to responses after the expiration of deadlines; request clarifications, revised proposals or best and final offers; or negotiate or approve final agreements
- consider any information about any Respondent that is not expressly contained in Respondent's response
- procure any materials, equipment or services specified in this RFQ by any other means

Any interpretation of, or change in, this Request for Qualifications will be made by addendum, and shall become part of the Request.

**End of Section VII
Webcor/Obayashi Joint Venture's Rights and Options**

VIII. SUBMITTAL CHECKLIST

JOB No.30100 SAN FRANCISCO CALIFORNIA

One (1) original and three (3) copies of the response in 8-1/2" x 11" format with supporting material are due by 2:00 p.m. June 15, 2010 and should be mailed or delivered to:

Webcor/Obayashi Joint Venture
Attn: Richard Buellesbach
183 Fremont Street
San Francisco, CA 94105

Re: TTC - Request for Qualifications (Underground Water, Sewer and Joint Trench Installation)

No responses will be accepted thereafter.

- One (1) bound original and three (3) bound copies of the response in 8-1/2"x11" format of responses with supporting material received by 2:00 PM on June 15, 2010.
- Responses shall be printed on both sides of paper (double-sided).
- Responses should include the fully completed Trade Subcontractor Qualification Statement
- Three (3) representative projects as requested utilizing Attachments #3 and #4
- Three (3) largest projects information as requested utilizing Attachment #3
- All City and County of San Francisco projects from the last five years utilizing Attachment #4.
- Resumes for minimum of two (2) project managers and minimum of two (2) superintendents to be used on the project
- Organization chart of key members, showing the contractual and reporting relationship of each member. If a Joint Venture, indicate which firm the key members are associated with, as well as the estimated percentage of each firm's involvement in the scope of work.
- Notarized Declaration on the form as provided below
- Attachment #2 even if the response is Not Applicable
- If additional information is included, the information shall be limited to three (3) pages and shall be provided by the Trade Subcontractor only, incorporating information from major sub-tier contractors/consultants, as appropriate.
- SBE/DBE Plan per attachment #6 even if the response is Not Applicable.
- Statement from Surety Insurer as required in section 2.2 below

**End of Section VIII
Submittal Checklist**

IX. Trade Subcontractor Qualification Statement

Note: "Contractor" refers to Webcor/Obayashi Joint Venture. "Trade Subcontractor" and "Subcontractor" refer to the entity who will perform the work defined in this RFQ.

Scope of Work: Under Ground Water, Sewer and Joint Trench Installation

Firm Name (as it appears on license):

Address:

Contact:

Title:

Phone:

E-Mail:

Fax:

Website:

Section 1 – Essential Requirements for Qualification

PART A:

Trade Subcontractor will be immediately disqualified if the answer to any question in Part "A" is "No".

NO POINTS ASSESSED, ALL QUESTIONS ARE PASS/FAIL.

Item	Question	SCORE Pass/Fail
A-1.	Trade Subcontractor possesses a valid and current California Contractor's license for the project or projects for which it intends to submit a bid with the appropriate classifications required. An active and valid California State Contractor License type A, C10 or C34 along with appropriate experience will be required. <input type="checkbox"/> Yes <input type="checkbox"/> No No = Not Qualified, FAIL	Pass/Fail
A-2.	Trade Subcontractor is willing and able to provide both a 5% bid bond and a 100% payment and performance bond from a surety licensed in the state of California for work equal to your bid amount. <input type="checkbox"/> Yes <input type="checkbox"/> No No = Not Qualified, FAIL	Pass/Fail
A-3.	Trade Subcontractor has or can obtain a liability insurance policy issued by an insurance company licensed in the State of California with limits and ratings as noted below and with a Waiver of Subrogation: All insurance companies shall have a current A.M. Best Rating not less than "A,X" and shall be satisfactory to Contractor. -Worker's Compensation & Employer's Liability: WC – Statutory Limit; EL - \$1M, including coverage for U.S. Long Shore and Harbor Workers' Act benefits, and Jones Act benefits, and Federal Employees Liability Act -General Liability + Umbrella or Excess Liability (Minimum Rating- A- and Class VI): Non Hazardous - \$2M (each occurrence and aggregate), including coverage for Contractual Liability, Independent Contractors, Explosion, Collapse, and Underground (XCU), Personal Injury, Broadform Property Damages, and completed operations -Automobile Liability (Minimum Rating- A- I): \$1M combined single limit <input type="checkbox"/> Yes <input type="checkbox"/> No No = Not Qualified, FAIL	Pass/Fail
A-4	Trade Subcontractor provides health and pension benefits for its employees and their families through an ERISA approved program <input type="checkbox"/> Yes <input type="checkbox"/> No No = Not Qualified, FAIL	Pass/Fail
A-5	Trade Subcontractor has an existing agreement with a registered apprenticeship program(s) which has been approved by the California Apprenticeship Council, and the program(s) has graduated apprentices in the preceding five years for the apprenticeable craft(s) which may be employed by your firm? <input type="checkbox"/> Yes <input type="checkbox"/> No No = Not Qualified, FAIL	Pass/Fail

A-6	Trade Subcontractor is a Small Business Enterprise (SBE) as defined by TJPA Board Policy #15. <input type="checkbox"/> Yes <input type="checkbox"/> No No = Not Qualified	Pass/Fail
-----	---	-----------

PART B

Trade Subcontractor will be immediately disqualified if the answer to any question in Part “B” is “Yes”.

NO POINTS ASSESSED, ALL QUESTIONS ARE PASS/FAIL.

B-1.	Has your contractor’s license been revoked at any time in the last five (5) years? <input type="checkbox"/> Yes <input type="checkbox"/> No Yes = Not Qualified, FAIL	Pass/Fail
B-2.	Has your contractor’s license been suspended for any reason within the last five (5) years (other than Contractor State License Board bond related reasons of duration not exceeding 90 days)? <input type="checkbox"/> Yes <input type="checkbox"/> No Yes = Not Qualified, FAIL	Pass/Fail
B-3.	Has a surety completed a contract on your behalf, or paid for completion because your firm was default terminated within the last five (5) years? <input type="checkbox"/> Yes <input type="checkbox"/> No Yes = Not Qualified, FAIL	Pass/Fail
B-4.	At the time of submitting this qualification form, is your firm ineligible to bid on or be awarded a public works contract, or perform as a contractor on a public works contract? <input type="checkbox"/> Yes <input type="checkbox"/> No Yes = Not Qualified, FAIL	Pass/Fail
B-5.	Has your firm or any of its owners, officers or partners ever been convicted of a crime involving any federal, state, or local law related to construction? <input type="checkbox"/> Yes <input type="checkbox"/> No Yes = Not Qualified, FAIL	Pass/Fail
B-6.	Is your firm presently in bankruptcy? <input type="checkbox"/> Yes <input type="checkbox"/> No Yes = Not Qualified, FAIL	Pass/Fail
B-7.	Has your firm or any of its owners, officers or partners ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to a public agency or entity? <input type="checkbox"/> Yes <input type="checkbox"/> No Yes = Not Qualified, FAIL	Pass/Fail
B-8.	During the last five (5) years, has there ever been a period of time when your firm had no surety bond in place during a construction project when one was required? <input type="checkbox"/> Yes <input type="checkbox"/> No Yes = Not Qualified, FAIL	Pass/Fail

Section 1 Summary

All answers in Part A have been answered ‘Yes’, and Part B answered ‘No’
 If any questions in Part A were answered ‘No’, or Part B answered ‘Yes’

Pass _____
 Fail _____

Section 2: Licensing; Surety; Insurance; Environmental Matters; Disputes and Claims; Business Organization; Financial Information; Safety; Prevailing Wage; Project History

2.1 Licensing:

<u>Licensing Scoring Section:</u>	Total Score Possible	25 Pts																		
<p><u>Provide the following information:</u> A. Trade Subcontractor must have a current and valid State of California Contractor's License for the trade they are qualifying. List all license numbers and the name of the qualifying license holder as issued and as on file with the licensing board.</p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Issuing Agency</th> <th style="text-align: left;">Class</th> <th style="text-align: left;">License Number</th> <th style="text-align: left;">Date issued</th> <th style="text-align: left;">Exp. Date</th> <th style="text-align: left;">Name</th> </tr> </thead> <tbody> <tr> <td></td> <td></td> <td></td> <td style="text-align: center;">/ /</td> <td style="text-align: center;">/ /</td> <td></td> </tr> <tr> <td></td> <td></td> <td></td> <td style="text-align: center;">/ /</td> <td style="text-align: center;">/ /</td> <td></td> </tr> </tbody> </table> <p>1. Has your Cal-OSHA certificate(s) or registration(s) been revoked at any time in the last 5 years? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if yes):</p> <p>2. Has your firm had a complaint filed with the Contractors State License Board that required a formal hearing or inquiry within the last 5 years? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if yes):</p>			Issuing Agency	Class	License Number	Date issued	Exp. Date	Name				/ /	/ /					/ /	/ /	
Issuing Agency	Class	License Number	Date issued	Exp. Date	Name															
			/ /	/ /																
			/ /	/ /																
Section 2.1 Actual Points Earned																				

2.2 Surety Information:

<u>Bonding Scoring Section:</u>	Total Score Possible	25 Pts
<p>Surety Company: Bonding Agent Contact: Phone: Fax: e-mail: Single Project Bonding Capacity: Aggregate Bonding Capacity: Available Bonding Capacity: Average Project Size Last Year: Bond Rate: Current Backlog: Bonding Status: Attach a statement from your surety insurer (who must be approved by the California Department of Insurance and authorized to issue bonds in the State of California), which states that your current bonding capacity is sufficient for the project.</p> <p>1. At any time during the past five years, has any surety company <u>made any payments</u> on your firm's behalf as a result of a default, to satisfy any claims made against a performance or payment bond issued on your firm's behalf, in connection with a construction project, either public or private? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if yes):</p> <p>2. In the last five years has any insurance carrier, for any form of insurance, refused to renew the insurance policy for your firm? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if yes):</p> <p>3. During the last five years, has your firm ever been denied bond coverage by a surety company, or has there ever been a period of time when your firm had no surety bond in place during a public construction project when one was required? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if yes):</p>		
Section 2.2 Actual Points Earned		

2.3 Insurance:

	<u>Insurance Scoring Section:</u>	Total Score Possible	20 Pts
	1. Does your firm currently carry a workers' compensation insurance policy as required by the Labor Code or are they legally self-insured pursuant to current California labor laws and code in effect. Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if no): 2. In the last five years has there ever been a period when your firm had employees but was without workers compensation insurance or state-approved self-insurance? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if yes): 3. In the last five years has any insurance carrier, for any form of insurance, refused to renew the insurance policy for your firm? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if yes):		
	Section 2.3 Actual Points Earned		

2.4 Environmental Matters:

	<u>Environmental Matters Scoring Section:</u>	Total Score Possible	20 Pts
	1. Has your firm been cited by any governing agencies for violations to local ordinances or codes (i.e. BAAQMD, Regional Water Quality Control Boards, etc.)? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if yes): 2. Has your firm ever received a citation or violation from the Environmental Protection Agency (EPA)? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if yes): 3. Has your firm ever received a citation or violation from the Department of Toxic Substances Control (DTSC)? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if yes):		
	Section 2.4 Actual Points Earned		

2.5 Disputes, Arbitration and Litigation:

	<u>Disputes, Arbitration and Litigation Scoring Section:</u>	Total Score Possible	40 Pts
	1. At any time in the last five (5) years has your firm been assessed liquidated damages (regardless of final settlement) after completion of either a public or private project? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if yes): If "yes," explain on a separate signed page, identifying all such projects by owner, owner's address, the date of completion of the project, amount of liquidated damages assessed and all other information necessary to fully explain the assessment of liquidated damages. 2. In the last five (5) years has your firm, or any firm with which any of your company's owners, officers or partners was associated, been debarred, disqualified, removed or otherwise prevented from bidding on, or completing, any government agency or public works project for any reason? Note: "Associated with" refers to another construction firm in which an owner, partner or officer of your firm held a similar position. Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if yes): If "yes," explain on a separate signed page. State whether the firm involved was the firm applying for qualification here or another firm. Identify the name of the company, the name of the person within your firm who was associated with that company, the year of the event, the owner of the project, the project and basis for the action. 3. In the last five (5) years has your firm been denied an award of a public works contract based on a finding by a public agency, or their agent, that your company was not a responsible bidder? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if yes):		

<p>If "yes," explain on a separate signed page. Identify the year of the event, the owner, the project and the basis for the finding by the public agency.</p> <p>4. In the past five (5) years has any claim against your firm concerning your firm's work on a construction project been filed in court or arbitration with an owner or general contractor? Yes <input type="checkbox"/> No <input type="checkbox"/> If yes, how many times: If "yes," on separate signed sheets of paper identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).</p> <p>5. In the past five (5) years has your firm made any claim against a project owner or general contractor concerning work on a project or payment for a contract and filed that claim in court or arbitration? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if yes):</p> <p>6. Are there any liens for labor or materials filed against your company, its officers, or any company associated with them? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if yes):</p>	
Section 2.5 Actual Points Earned	

2.6 Claims

Claims Scoring Section:	Total Deductive Score Possible	-30 to 0 Pts																				
<p>Note: The following questions refer only to disputes between your firm and the owner or general contractor of a project that progressed to arbitration or litigation. You need not include information about disputes between your firm and a supplier or Trade Subcontractor. Also, you may omit reference to all disputes where the amounts were \$10,000 or less.</p> <p>1. Enter the number of claims that were made through arbitration or litigation in excess of \$10,000 for additional compensation against owners or general contractors in the past five (5) years. Using the Attachment 2 form, provide detailed information for each current, pending and resolved dispute. No. of Claims: _____</p> <p>2. <u>Summary of Claims:</u> Include ALL claims as defined below for completing the following items a. thru f. as they will be used in the table below: A Claim is a demand or assertion by the Contractor seeking adjustment or interpretation of contract terms, payment of money, extension of time or other relief with respect to the terms of the contract, the Contractor's right to which was disputed by the owner or general contractor, including, but not limited to, disputes subject to arbitration or litigation.</p> <p style="margin-left: 40px;">a. Total Dollar Amount of Claims in past 5 yrs: _____</p> <p style="margin-left: 40px;">b. Total Dollar Amount Recovered: _____</p> <p style="margin-left: 40px;">c. Percentage of Recovery (b ÷ a): _____ %</p> <p style="margin-left: 40px;">d. Total Number of Claims: _____</p> <p style="margin-left: 40px;">e. No. of Projects over \$1million in 5 past yrs: _____</p> <p style="margin-left: 40px;">f. Percentage of Claims Frequency (d ÷ e): _____ %</p> <p>The following table demonstrates how the information submitted above will be evaluated. The matrix below indicates the points that will be deducted based upon the contractors claim experience.</p>																						
<table border="1" style="margin-left: auto; margin-right: auto;"> <thead> <tr> <th></th> <th colspan="3">% of Recovery</th> </tr> <tr> <th>% of Claims Frequency</th> <th>0 - 33%</th> <th>34 - 66%</th> <th>67 - 100%</th> </tr> </thead> <tbody> <tr> <td>50 - 100%</td> <td>-30pts</td> <td>-15pts</td> <td>-10pts</td> </tr> <tr> <td>25 - 50%</td> <td>-20pts</td> <td>-10pts</td> <td>-5pts</td> </tr> <tr> <td>0 - 25%</td> <td>-10pts</td> <td>-5pts</td> <td>0pts</td> </tr> </tbody> </table>				% of Recovery			% of Claims Frequency	0 - 33%	34 - 66%	67 - 100%	50 - 100%	-30pts	-15pts	-10pts	25 - 50%	-20pts	-10pts	-5pts	0 - 25%	-10pts	-5pts	0pts
	% of Recovery																					
% of Claims Frequency	0 - 33%	34 - 66%	67 - 100%																			
50 - 100%	-30pts	-15pts	-10pts																			
25 - 50%	-20pts	-10pts	-5pts																			
0 - 25%	-10pts	-5pts	0pts																			

	Scoring Range -30 to 0 Points	
	Section 2.6 Actual Points Deducted	

Disputes, Arbitration, Litigation and Claims Point Summary: **Section 2.5 Actual Points Earned**
Section 2.6 Points Deducted
Section 2.5 and Section 2.6 Total

+
-

2.7 Business Organization and History

Business Organization Scoring Section:	Total Score Possible	25 Pts																																
<p>A. Is your company an: <input type="checkbox"/> individual <input type="checkbox"/> partnership <input type="checkbox"/> corporation <input type="checkbox"/> joint venture <input type="checkbox"/> Subchapter S Corp <input type="checkbox"/> Proprietorship <input type="checkbox"/> LLC</p> <p>B. If firm is a sole proprietor or partnership, list the owner(s) of the company:</p> <p>C. Under the laws of which state is the company organized? State of _____</p> <p>D. Date Founded: _____</p> <p>E. Parent, Affiliate, and/or Subsidiary Companies:</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 20%;">Full Legal Name</th> <th style="width: 15%;">Location</th> <th style="width: 20%;">Ownership</th> <th style="width: 15%;">Operations</th> <th style="width: 15%;">Indemnity Available?</th> <th style="width: 15%;">Endorsed for Obligation of:</th> </tr> </thead> <tbody> <tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr> </tbody> </table> <p>F. When did present management assume control? _____</p> <p>G. Name of Predecessor: _____ What happened to Predecessor? _____</p> <p>H. What percentage of your work is public? _____% private? _____%</p> <p>I. SBE Certification - Is your firm currently certified as a one of the following:</p> <ul style="list-style-type: none"> • a <i>California Certified Small Business (SB) or Disabled Veteran Business Enterprise (DVBE) as certified by the California Department of General Services (DGC) – Procurement Division (PD) – Office of Small Business and Disabled Veteran Business Enterprise Services (DVBE)</i> Yes _____ No _____ Expiration date: _____. • a <i>City and County of San Francisco Local/Minority/Women-Owned Business Enterprise (L/M/WBE) as certified by the San Francisco Human Rights Commission (HRC)</i> Yes _____ No _____ Expiration Date: _____. • a <i>Disadvantaged Business Enterprise (DBE) as certified by the California Unified Certification Program (CUCP)</i> Yes _____ No _____ Expiration date: _____. <p>Please attach a copy of your Certification Letter(s). If no, have you submitted your certification forms to one of the above entities? Yes _____ No _____.</p> <p>If yes, expected certification date: _____.</p> <p>If no, please identify the date when you plan on submitting your certification request to one of the above entities: _____.</p> <p>E. Number of Employees by Trade:</p> <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 20%;">Trade:</td> <td style="width: 20%; text-align: center;">2009</td> <td style="width: 20%; text-align: center;">2008</td> <td style="width: 20%; text-align: center;">2007</td> </tr> <tr> <td> </td> <td style="text-align: center;">_____</td> <td style="text-align: center;">_____</td> <td style="text-align: center;">_____</td> </tr> </table> <p>Number of Current Administrative Employees:</p> <p>1. Has your firm or any of its owners, officers or partners ever been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if yes): _____</p> <p>2. Have there been any changes in the control or management of the company during the last 5 years? _____</p>			Full Legal Name	Location	Ownership	Operations	Indemnity Available?	Endorsed for Obligation of:																			Trade:	2009	2008	2007		_____	_____	_____
Full Legal Name	Location	Ownership	Operations	Indemnity Available?	Endorsed for Obligation of:																													
Trade:	2009	2008	2007																															
	_____	_____	_____																															

Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if yes): 3. Continuity – Completion of Work? a) Is there a buy-sell agreement in effect? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if yes): b) Is the agreement funded by life insurance? Yes <input type="checkbox"/> No <input type="checkbox"/> If yes, amount of insurance \$ c) Who are the parties to the buy-sell agreement? Give details if copy not provided. 4. What arrangements have been made to assure that contracts are completed if the owners are not available? 5. Has your firm been in bankruptcy or a voluntary or involuntary reorganization in the last three years? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if yes):	
Section 2.7 Actual Points Earned	

2.8 Financial Information:

<u>Financial Information</u>	Total Score Possible	<u>50 Pts</u>									
<p>Federal Tax ID No.: _____ General Tax Exemption No.: _____</p> <p>Dun and Bradstreet No.: _____ Income/Balance Sheet Preparation Method: _____</p> <p>Name of Bank: _____ Branch: _____ Line of Credit Amount: \$ _____</p> <p>Revenue: 2010: (Projected) \$ _____ 2009: (Actual)\$ _____ 2008: (Actual)\$ _____ 2007: (Actual)\$ _____</p> <p>The apparent low bidder will be required to furnish their audited financial statement prior to the award of contract to confirm the ratios below. Confirm that it will be provided upon request: <input type="checkbox"/>Yes <input type="checkbox"/>No</p> <p>Based on your most recent audited or reviewed financial statement(s), provide the following summary financial information: Note: Trade Subcontractor is EXEMPT if it meets the definition below.</p> <p>The apparent low bidder will be required to provide actual financials prior to the award of contract.</p> <p><i>Public Contract Code section 20101(e)</i> (e) For the purposes of subdivision (a), a financial statement shall not be required from a contractor who has qualified as a Small Business Administration entity pursuant to paragraph (1) of subdivision (d) of Section 14837 of the Government Code, when the bid is no more than 25 percent of the qualifying amount provided in paragraph (1) of subdivision (d) of Section 14837 of the Government Code.</p> <p><i>Government Code section 14837 (d) (1)</i> (d) (1) "Small business" means an independently owned and operated business that is not dominant in its field of operation, the principal office of which is located in California, the officers of which are domiciled in California, and that, together with affiliates, has 100 or fewer employees, and average annual gross receipts of ten million dollars (\$10,000,000) or less over the previous three years, or is a manufacturer, as defined in subdivision(c), with 100 or fewer employees SF Administrative code Chapter 14B(3)(b) applies for HRC certified contractors</p> <p>Enter Financial Ratios Below</p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 33%; text-align: left; padding: 5px;">Working Capital</th> <th style="width: 33%; text-align: left; padding: 5px;">Current Ratio</th> <th style="width: 33%; text-align: left; padding: 5px;">Leverage</th> </tr> </thead> <tbody> <tr> <td style="border: 1px solid black; height: 40px;"></td> <td style="border: 1px solid black; height: 40px;"></td> <td style="border: 1px solid black; height: 40px;"></td> </tr> <tr> <td style="border: 1px solid black; padding: 5px;">Current Assets (minus) Current Liabilities (divided by) 2009 Volume</td> <td style="border: 1px solid black; padding: 5px;">Current Assets (divided by) Current Liabilities</td> <td style="border: 1px solid black; padding: 5px;">Total Liabilities (divided by) Equity</td> </tr> </tbody> </table>	Working Capital	Current Ratio	Leverage				Current Assets (minus) Current Liabilities (divided by) 2009 Volume	Current Assets (divided by) Current Liabilities	Total Liabilities (divided by) Equity		
Working Capital	Current Ratio	Leverage									
Current Assets (minus) Current Liabilities (divided by) 2009 Volume	Current Assets (divided by) Current Liabilities	Total Liabilities (divided by) Equity									
Section 2.8 Actual Points Earned:											

2.9 Safety:

	Safety Scoring Section:	Total Score Possible	30 Pts
	<p>1. List your firm's Experience Modification Rate (EMR) (California workers' compensation insurance) for each of the past three premium years: NOTE: An EMR is issued to your firm annually by your workers' compensation insurance carrier. Current year EMR: Less than or = 1.00 = Well Qualified 1.01 TO 1.24 = Generally Qualified Equal or Greater than 1.25 = Not Qualified Year 2009: _____ Year 2008: _____ Year 2007: _____ Should you and/or your sub tier contractors current EMR exceed 1.0, you must demonstrate and document that you have or will initiate programs, policies and attitudes that will result in a safety conscious performance. Additional documentation and presentation to Webcor/Obayashi Joint Venture will be required.</p> <p>2. Rate Category _____ 2009 _____ 3 Yr. Avg. _____ OSHA Recordable/Injury Rate: OSHA Lost Workdays Incidence Rate: OSHA Lost Time Incidence Rate: Total Man-hours/Year (Field Personnel):</p> <p>3. OSHA Citations – Include both California and Federal: Year Number Code of State or Federal Regs. Sect. Nos. _____ 2009 2008 2007 2006 2005 If any citations were noted above, please provide explanation on a separate sheet.</p> <p>4. Fatalities Category _____ Current Year Total # over past 3 Yrs. _____ Total Number of Employee Fatalities: Total Number of Contractor Employee Fatalities:</p>		
	Section 2.9 Actual Points Earned:		

2.10 Prevailing Wage and Apprentice Compliance

	Prevailing Wage and Apprenticeship Compliance Scoring:	Total Score Possible	15 Pts
	<p>1. Has there been more than one occasion during the last five years in which your firm was required to pay either back wages or penalties for your own firm's failure to comply with the State's prevailing wage Yes <input type="checkbox"/> No <input type="checkbox"/> Explain and note how often (if yes):</p> <p>2. During the last five years, has there been more than one occasion in which your own firm has been penalized or required to pay back wages for failure to comply with the Federal Davis-Bacon prevailing wage requirements? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain and note how often (if yes):</p> <p>3. At any time during the last five years, has your firm been found to have violated any provision of California apprenticeship laws or regulations, or the laws pertaining to use of apprentices on public works? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain and note how often (if yes):</p>		

	If “yes,” also provide the date(s) of such findings, and attach copies of the Department’s final decision(s).	
Section 2.10 Actual Points Earned:		

2.11 Project and Personnel Experience:

	Project and Personnel Experience	Total Score Possible	50 Pts
	<p>1. Provide a complete project profile for three (3) of the most relevant completed projects in the past five (5) years. Also provide a profile on the three (3) largest projects completed in the past five (5) years. Names and references provided by Trade Subcontractor must be current and verifiable.</p> <p>Project Experience Requirements:</p> <p>a) Trade Subcontractor shall provide information on at least three completed projects that are similar in nature to size, complexity, and estimated value of the Underground Water, Sewer and Joint Trench construction. Include information of relevant experience for any second tier contractor included within the Qualification Statement. Projects shall have been completed within the last five (5) years. Submitted relevant project experience should include, at a minimum, the following:</p> <ul style="list-style-type: none"> • the location of the project with emphasis on complexity of the coordination • size and quantity of trenches and duct banks • pertinent installation schedule information • Any other information unique to that project that may be relevant to this project <p>b) Use of Attachment 3 is recommended to submit the project information. Subcontractor may submit standard project profiles, but they must contain all information requested in Attachments 3 and 4.</p> <p>c) Provide supplemental information on your firm’s tracking systems for design, fabrication and delivery of all required components.</p> <p>2. Using Attachment 4, provide a list of all City and County of San Francisco (CCSF) projects that your firm has been involved in within the last five years. This refers to all projects performed for CCSF, irrespective of geographical location.</p> <p>3. Provide complete qualification information for a minimum of two (2) Project Managers and two (2) Superintendents one of each whom will be assigned to this project. Provide an organizational chart defining the relationship of the project specific personnel to the company. Trade Subcontractors should anticipate potential penalties if the personnel designated to be assigned to the project are changed without prior approval of Webcor/Obayashi Joint Venture.</p> <p>4. Provide supplemental information on your firm’s quality control system and identify projects where your firm successfully implemented it. Do you have a full-time QA/QC representative? Yes ___ No _____. If yes, how many are Corporate? _____ How many are Field? _____</p> <p>5. Provide supplemental information on your firm’s tracking systems for design, fabrication, and delivery of all required components.</p>		
Section 2.11 Actual Points Earned:			

August 13, 2010
 Webcor/Obayashi Joint Venture
 Underground Water, Sewer and Joint Trench Installation
 Construction Services

Transbay Joint Powers Authority
 Request for Qualifications (RFQ)

Summary and Scoring Totals

Section 1:

All answers in Part A have been answered 'Yes', and Part B answered 'No'
 If any questions in Part A were answered 'No', or Part B answered 'Yes'

Pass _____

Fail _____

Section 2:

	Total Score Possible	Score Received
2.1 Licensing	25	_____
2.2 Surety/ Bonding	25	_____
2.3 Insurance	20	_____
2.4 Environmental Matters	20	_____
2.5 Disputes, Arbitration and Litigation	40	_____
2.6 Claims	0	_____
2.7 Business Organization	25	_____
2.8 Financial Information	50	_____
2.9 Safety	30	_____
2.10 Prevailing Wage and Apprentice Comp.	15	_____
2.11 Project and Personnel Experience	<u>50</u>	_____
Total Possible Score:	300	Total Score Received: _____

Trade Subcontractor must receive 250 out of a total of 300 points to pass the qualification criteria.

August 13, 2010
Webcor/Obayashi Joint Venture
Underground Water, Sewer and Joint Trench Installation
Construction Services

Transbay Joint Powers Authority
Request for Qualifications (RFQ)

Declaration

The undersigned hereby agrees and declares that receipt of this submittal by the Webcor/Obayashi Joint Venture does not constitute either a direct or implied guarantee that qualification is or will be granted.

I, the undersigned, certify and declare that I have read all the foregoing answers to this qualification questionnaire and know their contents. The matters stated in the questionnaire answers are true of my own knowledge and belief, except as to those matters stated on information and belief, and as to those matters I believe them to be true. I declare under penalty of perjury under the laws of the State of California, that the foregoing is correct.

The undersigned is a legally authorized representative of the Trade Subcontractor for the legal name noted above.

Trade Subcontractor

Printed Name/Title

Signature

Date _____

Subscribed and sworn to before me this _____ day of _____, 20 _____

Notary Public in and for the State of _____

Residing at _____

Expiration Date: _____

**Attachment 1
Tentative Selection Schedule**

Qualification Schedule

EVENT	TIME	DATE	LOCATION
1. RFQ Packages Available		August 13, 2010	TJPA Website
2. Last Date for Questions	2:00 p.m.	August 27, 2010	Webcor Obayashi email
3. All RFQ Packages Due	2:00 p.m.	September 1, 2010	Webcor/Obayashi Joint Venture 183 Fremont Street San Francisco, 94105
4. Evaluate RFQ		September 1, 2010 to September 8, 2010	Webcor/Obayashi, Joint Venture
5. Issue Notices to Qualified Trade Contractors		September 9, 2010	

***The schedule is preliminary and is subject to change without notice.**

End of Attachment 1

Attachment 2
Mediation, Arbitration and Litigation History
(All Owners both Private and Public)
(Copy and attach this form for each claim)

Separately list all CURRENT, PENDING AND/OR RESOLVED disputes,

- Pursued via mediation, arbitration or litigation
- Initiated within the last five (5) years
- In which additional compensation is sought
- And/or breach of contract was alleged
- And/or indemnity is sought
- Between your firm (or any principal of your firm) and any Owner or any General Contractor

If none, indicate "NONE." Do not leave blanks

Project Name: _____

Project Location: _____

Owner: _____

General Contractor: _____

Nature of Claim: _____

Dollar Amount of Initial Claim: _____

Dollar Amount of Final Settlement: _____

Year Claim Filed: _____ Year Claim Resolved: _____

State of California Superior Court Action No. : _____

U.S. District Court Case No. : _____

How claim was resolved (arbitration, mediation, litigation, etc.): _____

Current Status: _____

Attachment 3

Project Experience – Underground Water, Sewer and Joint Trench Installation

(Copy and attach this form for each of 6 projects – 3 for Relevant Experience and 3 Largest Projects)

Project Name: _____

Location: _____

Owner: _____

Owner Contact: _____ Phone # _____

General Contractor: _____ Phone # _____

General Contractor Contact: _____

Civil Engineering Firm: _____

Civil Engineer Contact: _____ Phone# _____

Construction Manager: _____ Phone# _____

Description of Project/ Scope of Work Performed:

Trade Work: _____ Square footage: _____

Description of the Work _____

Total Value of Contract at Time of Award: _____

Final Value of Contract (including change orders): _____

Duration in Months from Award to Delivery: _____

Original Scheduled Completion Date: _____

Time Extension Granted (number of days): _____

Actual Date of Completion of your work: _____

What work did your firm perform on this project? Identify trades and values.

_____ \$ _____

_____ \$ _____

Did you subcontract portions of your work (i.e. labor, materials, delivery, etc.) to Small Business or Disadvantaged Business enterprise(s)? If yes, please identify trades and values.

_____ \$ _____

_____ \$ _____

How did your company solicit and evaluate Small/Disadvantaged Business Enterprises to assist with the scope identified above?: _____

What work did your firm self-perform?: _____

August 13, 2010
Webcor/Obayashi Joint Venture
Underground Water, Sewer and Joint Trench Installation
Construction Services

Transbay Joint Powers Authority
Request for Qualifications (RFQ)

Why is this work relevant to the TTC Project: _____

Name of your responsible Project Manager: _____

Name of your Superintendent / Foreman: _____

Attachment 4
Underground Water, Sewer and Joint Trench Installation
City & County of San Francisco Project Experience

(Copy and attach this form as required for all CCSF projects completed in the last five years. CCSF Projects shall refer to projects performed for the City and County of San Francisco, irrespective of geographical location)

Project Name: _____
Location: _____
City Contact: _____ Phone # _____

Description of Project/ Scope of Work Performed:

Total Value of Contract at Time of Award: _____
Final Value of Contract (including change orders): _____

Original Scheduled Completion Date: _____
Time Extension Granted (number of days): _____
Actual Date of Completion of your work: _____

Was this project completed to the satisfaction of the City? If no, why?

Name of your responsible Project Manager: _____

Name of your Superintendent / Foreman: _____

Major Supplier: _____
Contact: _____ Phone# _____

Attachment 6

Strategy For Achieving SBE/DBE Goals

This document must be received as part of the Request for Qualification package.

Trade Subcontractor/Company Name: _____

Contact Person: _____

Telephone Number: _____

In the space provided below, describe in detail your strategy for achieving the SBE goals as required for this specific trade package. (Add additional sheets if necessary.)
